

CUSTOMER ACCOUNT INFORMATION FORM

Date :

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 [FILL UP THE FORM IN CAPITAL LETTERS] Account Type : Cash Margin

Client Code :

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 Link Code :

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First Account Holder

Name of the Customer :

Father's/Husband's Name :

Mother's Name :

Date of Birth :

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 Sex : Male Female Nationality :

Present Address :

..... Mobile : Tel # :

Permanent Address :

..... Tel # : E-mail ID :

Occupation : E-Tin # :

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Joint Account Holder

Name of Joint Account Holder :

Father's/Husband's Name :

Mother's Name :

Date of Birth :

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 Sex : Male Female Nationality :

Present Address :

..... Mobile : Tel # :

Permanent Address :

..... Tel # : E-mail ID :

Occupation : E-Tin # :

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Signed Photograph of
Joint Account Holder

Authorized Person Information

Name of Authorized Person :

Father's/Husband's Name :

Date of Birth :

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 Sex : Male Female Nationality :

Present Address :

..... Mobile : Tel # :

Permanent Address :

..... Tel # : E-mail ID :

Occupation : E-Tin # :

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Signed Photograph of
Joint Account Holder

Officer or Director of any Stock Exchange Listed Company ? Yes No Signature

If yes, Name of the Stock Exchange/Listed Company :

Bank Name :

Branch Name :

Account No. :

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 Routing No. :

Declaration : It is hereby declared that all the above mentioned information in customer account information form are true & valid.

	Name	Signature with Date
First Account Holder		
Joint Account Holder		
Officer/Manager/Branch In-charge		

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(TERMS AND CONDITIONS FOR OPENING A BROKERAG ACCOUNT)

DECLARATION / AGREEMENT

I/ We the undersigned whose information is given in the Brokerage Application Form hereby request to be registered as a client of **LankaBangla Securities Limited**, to open a brokerage account in my/our/company name. I/We further agree and confirm that the account hereby requested to be opened by me/us shall be held and be governed by the terms and conditions of this agreement as provided hereinafter and as may be modified from time to time by LankaBangla Securities Limited and that I/we/company name have/has the necessary authority and permission to enter this agreement.

TERMS AND CONDITIONS

Instruction: A written instruction shall be given to LankaBangla Securities Limited from time to time to purchase and/or sell investments (which shall mean and include stocks, debentures, mutual funds and private placement or any other similar financial instrument as may be made available from time to time) on behalf of the account holder. On receipt of such instructions along with a cash deposit or delivery of shares, LankaBangla Securities Limited shall, so far as LankaBangla Securities Limited considers it reasonably parcticable, purchase and/or sell investments in accordance with those instructions, provided always that (I) any such dealings do not contravence any applicable laws or regulations; (II) LangkaBangla Securities Limited shall have an absolute discretion to accept or reject pruchase/sell instructions and (III) accounts holder's instuction shall include the following details:

- The name of the investment.
- Quantity.
- Price with notification of limit or discretion.
- The duration of the order.
- The nature of the lot (i.e. scrip size) for sale or the desired format for purchase order (See Note below).
- The order should specify completion formats of:
 - All or partial fill with minimum trade value or number of shares.
 - Mode of execution i.e. DVP (Delivery versus payment)/NON DVP (non delivery versus payment).

(Note: The number of shares constituting a "market lot" may change. Sellers must include the exact format of their holding. This has a direct impact on market pricing given the predominantly retail nature of the market. Trades may fail where non-agreed lot sizes are delivered).

Joint Accounts: If this is a joint account, unless the account holders notify LankaBangla Securities Limited otherwise and provide such documentation as LankaBangla Securities Limited may require at its sole discretion, the brokerage account(s) shall be held by the account holders jointly with rights or survivorship (payable to either or the survivor). Under these terms and conditions each joint account holder irrevocably appoints the other as attorney in fact to take all action on his or her behalf and to represent him or her in all respects in connection with this agreement. LankaBangla Securities Limited shall be fully protected and indemnified in acting but shall not be required to act upon the instruction of either of the account holder, who shall be liable, jointly or severally, for any amounts due to LankaBangla Securities Limited pursuant to this agreement, whether incurred by either both of the account holders.

Risk: The account holder understand(s) that the stock market is a rapidly changing market and that there is an inherent risk in incurring loss in share dealings, which LankaBangla Securities Limited, is not in anyway whatsoever liable and/or responsible for the share dealings of the account holder.

Limit Orders : While LankaBangla Securities Limited will endeavor to purchase or sell the investment within the limits of the prices that may be notified by the account holder, LankaBangla Securities Limited does not guarantee or assure that the transactions will be materialized on such notified limits, LankaBangla Securities Limited will always endeavor to obtain the best price.

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Agents : LankaBangla Securities Limited is authorized to employ other brokers as agents to perform all or part of its duties under these terms and conditions and to provide information regarding the account to such agents. LankaBangla Securities Limited may seek and act on an opinion from any lawyer, accountant or professional adviser or other expert and shall not incur any liability by acting upon such opinion.

Associate Companies : LankaBangla Securities Limited may purchase and / or sell investment on behalf of the account holder by placing order with itself and other company whether acting as underwriter(s), investment manager(s), merchant or commercial bank(s), registered or licensed deposit-taker(s), broker (s), dealer(s) or otherwise, or with any other broker(s) and dealer(s) as LankaBangla Securities Limited in its sole discretion may decide.

All Rules and Regulations of the Stock Exchange(s) : All transactions duly concluded through and recognized by the Dhaka & Chittagong Stock Exchange Limited (hereafter DSE & CSE) is governed by the respective rules of DSE & CSE relating to trading and settlement in particular and will be binding on both the parties concerned.

Payment and Disclosure : LankaBangla Securities Limited shall not be obliged to make any payment on behalf of the account holders. LankaBangla Securities Limited may disclose information regarding the account holder or his/her dealings in relation to this agreement to any department of the government or public, body upon request, whether or not such request is in fact legally enforceable, and LankaBangla Securities Limited will not be liable in any way to account holder for doing so.

Cancellation provisions : LankaBangla Securities Limited is authorized, in its absolute discretion, should the undersigned die or should LankaBangla Securities Limited for any reason whatsoever deems it necessary for its protection, without notice, or for any reason to cancel any outstanding orders in order to close out the accounts of the account holder, in whole or in part, or to close out any commitment made on behalf of the account holder.

Indemnity : In the event of a default, omission or act committed by LankaBangla Securities Limited as a broker/member of the DSE & CSE the account holder shall be indemnified if and only as provided by the Rules and Regulation of the DSE & CSE.

Confirmation and Settlements : LankaBangla Securities Limited shall use its best endeavors to provide the account holder with (a) written confirmation of each transaction it has effected on instructions and (b) contract notes (in such form as LankaBangla Securities Limited shall determine) setting forth (i) details of the trade date, value date, settlement date, quantity, price, commission rate and DSE 'Howla' number equivalent provided also that the account holder does hereby agree and undertake to confirm in writing beforehand of all its/ther instructions.

Fees and expenses : The account holder will pay a brokerage commission of, and any other related expenses as charged that may from time to time be applicable, Every transaction concluded through and recognized by the DSE is subject to transaction levies or other fees imposed by the DSE . The account holder understands, acknowledges and accepts that the rate of commission may be changed from time to time at the discretion of LankaBangla Securities Limited.

Set-off : LankaBangla Securities Limited shall be entitled to, in respect of all commission, costs, charged or expense , set off from any monies from time to time held by LankaBangla Securities Limited for the account holder and if such monies are insufficient for the purpose, to sell any investment held by LankaBangla Securities Limited or any of its agents on behalf of the account holder without notification, recourse or instruction from the account holder.

Period : This agreement shall be remian in force for a period of months/years from the date of signing.

Terminations : This agreement will stand terminated :

- i) Upon the expiry of the period of this Agreement unless renewed upon mutual agreement between LankaBangla Securities Limited and the Account Holder.
- ii) Before the expiry of the period of this Agreement, if either LankaBangla Securities Limited or the Account Holder gives months notice, Termination shall not absolve the parties from completing accounts and adjusting any outstanding dues or respective rights and obligations under this agreement.
- iii) If the account holder fails to make payment for any transaction upon due notice for a period of days.

Assignment : The benefits/rights and burdens/obligations of this agreement are capable of assignment by both the account holder and LankaBangla Securities Limited without the consent of the other but the notice of assignment must be given to the other in writing.

Force Majeur : LankaBangla Securities Limited shall not be liable for any loss, damages, expenses, costs or otherwise resulting directly or indirectly from any Government restriction, exchange ruling, suspension of trading , war, strike/national disaster or any other event or force majeure or circumstances beyond its control.

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